

FORM PTO-1390 (Modified)
(REV. 7/2005)

U.S. PATENT AND TRADEMARK OFFICE; U.S. DEPARTMENT OF COMMERCE

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A SUBMISSION UNDER 35 U.S.C. 371**

ATTORNEY'S DOCKET NUMBER

G12-197996C/KK

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

10/564742INTERNATIONAL APPLICATION NO.
PCT/JP2004/010260INTERNATIONAL FILING DATE
July 13, 2004PRIORITY DATE CLAIMED July 17, 2003;
September 22, 2003; October 30, 2003;

TITLE OF INVENTION

and January 27, 2004
**POSITIVE ACTIVE MATERIAL, PROCESS FOR PRODUCING THE SAME, AND POSITIVE ELECTRODE FOR LITHIUM
SECONDARY BATTERY AND LITHIUM BATTERY EACH EMPLOYING THE SAME**

APPLICANT(S) FOR DO/EO/US

1) Daisuke ENDO, 2) Akihiro FUJII, 3) Ryuji SHIOZAKI, 4) Tokuo INAMASU, 5) Hiroe NAKAGAWA, 6) Toshiyuki NUKUDA
Assignee Name: YUASA CORPORATION, Assignee Residence: Takatsuki-shi, Osaka, Japan

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.
4. ☒ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c)(2))
 - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☒ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☒ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☒ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).
11. ☐ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☒ A copy of the International Search Report (PCT/ISA/210).

Items 13 to 23 below concern document(s) or information included:

13. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☒ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A power of attorney and/or change of address letter.
19. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.
20. ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
21. ☐ A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).
22. ☐ Express Mail Label No.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATION NO (if known, see 37 CFR 1.5) 10/564742	INTERNATIONAL APPLICATION NO. PCT/JP2004/010260	ATTORNEY'S DOCKET NUMBER G12-197996C/KK				
23. Other items or information: 1 Sheet of Formal Drawings (Figure 1) Forms PCT/IB/304 and PCT/IB/308 International Publication No. WO 2005/008812 A1 (cover sheets) Notice of Change of Name						
The following fees have been submitted: 24. <input checked="" type="checkbox"/> Basic national fee \$300		<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th style="width: 50%;">CALCULATIONS</th> <th style="width: 50%;">PTO USE</th> </tr> <tr> <td style="text-align: right;">\$ 300.00</td> <td></td> </tr> </table>	CALCULATIONS	PTO USE	\$ 300.00	
CALCULATIONS	PTO USE					
\$ 300.00						
25. <input checked="" type="checkbox"/> Examination fee (37 CFR 1.492(c)) If the written opinion of the ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article \$0 All other situations. \$200		<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="text-align: right;">\$ 200.00</td> <td></td> </tr> </table>	\$ 200.00			
\$ 200.00						
26. <input checked="" type="checkbox"/> Search fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the international preliminary examination report by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4). . . \$0 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the as an International Searching Authority. \$100 International Search Report prepared by an ISA other than the US and provided to the previously communicated to the US by the IB. \$400 All other situations. \$500		<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="text-align: right;">\$ 500.00</td> <td></td> </tr> </table>	\$ 500.00			
\$ 500.00						
TOTAL OF 24, 25 and 26 =		<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="text-align: right;">\$ 1,000.00</td> <td></td> </tr> </table>	\$ 1,000.00			
\$ 1,000.00						
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.						
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole)				
68 - 100 =	0 /50 =	0				
x \$250.00		\$ 0.00				
Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).		\$				
CLAIMS	NUMBER FILED	NUMBER EXTRA				
Total claims	20 - 20 =	0				
x \$50.00		\$ 0.00				
Independent claims	1 - 3 =	0				
x \$200.00		\$ 0.00				
MULTIPLE DEPENDENT CLAIMS (if applicable) <input type="checkbox"/> + \$360.00		\$ 0.00				
TOTAL OF ABOVE CALCULATIONS =		\$ 1,000.00				
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.		\$ 0.00				
SUBTOTAL =		\$ 1,000.00				
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).		\$ 0.00				
TOTAL NATIONAL FEE =		\$ 1,000.00				
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property +		\$ 40.00				
TOTAL FEES ENCLOSED =		\$ 1,040.00				
Amount to be		\$				
Amount to be		\$				

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

- a. ☒ A check in the amount of \$ \$1,040.00 to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees.
A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-0481. A duplicate copy of this sheet is enclosed.
- d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.

SEND ALL CORRESPONDENCE TO:

Sean M. McGinn, Esq.
McGinn Intellectual Property Law Group, PLLC
8321 Old Courthouse Road
Suite 200
Vienna, Virginia 22182-3817
(703) 761-4100
Customer No. 21254


SIGNATURE

Sean M. McGinn, Esq.

NAME

34,386

REGISTRATION NUMBER

January 17, 2006

DATE

10/564742

Docket No. G12-197996C/KK NGB.607

IAP15 Rec'd POTATO 17 JAN 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of

Daisuke ENDO, et al.

Serial No.: Not Yet Assigned Group Art Unit: Not Yet Assigned

Filing Date: Concurrently Herewith Examiner: Not Yet Assigned

For: POSITIVE ACTIVE MATERIAL, PROCESS FOR PRODUCING THE SAME,
AND POSITIVE ELECTRODE FOR LITHIUM SECONDARY BATTERY
AND LITHIUM SECONDARY BATTERY EACH EMPLOYING THE SAME

NOTICE OF CHANGE OF NAME

Sir:

Please be advised that the name of the attorneys of record in the above-identified
application has been changed to:

**MCGINN INTELLECTUAL PROPERTY
LAW GROUP, PLLC**
8321 Old Courthouse Road, Suite 200
Vienna, Virginia 22182-3817
(703) 761-4100
Customer No. 21254

Please change the records in regard to the above-identified application accordingly and
direct all telephone calls to the number shown above.

Respectfully submitted,



Sean M. McGinn, Esq.
Registration No. 34,386

Date: 1/17/06
**MCGINN INTELLECTUAL PROPERTY
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